

DALLAS BUSINESS JOURNAL

THE LIST

NORTH TEXAS INVESTMENT BANKS

RANKED BY NUMBER OF LOCAL BANKING PROFESSIONALS

	Name/Prior/URL	Address/Phone	# Local Banking Professionals	# Local Employees	Total # of M&A Transactions in 2014	Top Local Executive(s)
1	Houlihan Lokey Inc. hl.com ①	100 Crescent Ct. #900 Dallas, TX 75201 214-220-8470	52	61	19	Adam Dunayer, Mark Francis, Mike Giffin, Rick Lacher, Julie Silcock
2	Commerce Street Capital LLC ⑤ commercestreetcapital.com	1445 Ross Ave. #2700 Dallas, TX 75202 214-545-6800	30	50	9	Dory Wiley, William D. "Tex" Gross
3	Stephens Inc. ② stephens.com	300 Crescent Ct. #600 Dallas 75201 214-258-2700	29	34	12	Nick Beare, Keith Behrens, Michael Miller, Paul Moorman, Brad Nelson, Kerry North, Phyllis Riggins, Smokey Swenson
4	White Rock Advisors LLC ⑧ whiterockadvisors.com	5001 Spring Valley Rd. #850W Dallas, TX 75244 972-644-7112	21	36	7	Gregory Hext, Simon Martin
5	MHT MidSpan ③ mhtmidspace.com	2000 McKinney Ave. #1200 Dallas, TX 75201 214-661-1290	18	22	11	Pat Crocker, Craig Lawson, Mike McGill, Shawn Terry
6	Allegiance Capital Corp. ④ allcapcorp.com	16400 Dallas Pkwy. #300 Dallas, TX 75248 214-217-7755	15	20	8	John Sloan, David Mahmood, Shane Mahmood, Christen Lazarcheck
7	Capital Alliance Corp. ⑥ cadallas.com	2777 N. Stemmons Fwy. #1220 Dallas, TX 75207 214-638-8280	8	14	8	Bryan Livingston, Paul Puri, Brad Buttermore
8	Waterview Advisors ⑧ waterviewadvisors.com	12201 Merit Dr. #700 Dallas, TX 75251 972-690-5400	7	12	8	Larry Starks
9	E-Spectrum Advisors LLC ⑧ energyspectrum.com	5956 Sherry Ln. #900 Dallas, TX 75225 214-987-6100	6	6	8	Jason Reibold
10	NexBank Capital Inc. ⑩	2515 McKinney Ave. #1100 Dallas, TX 75201 972-934-4700	5	25	0	Craig Campbell
11	Duff & Phelps Securities LLC ⑩ duffandphelps.com	1700 Pacific Ave. #1600 Dallas, TX 75201 469-547-3900	4	28	78	John Grimes
11	SAMCO Capital Markets Inc. ⑩ samcocapital.com	1700 Pacific Ave. #2000 Dallas, TX 75201 214-765-1400	4	55	4	Joe Mannes